

jc474 U.S. PTO  
09/905046  
07/12/01

Attorney's Docket No. 3801.P042

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of: )  
                                  )  
                                  )  
Christine Cheng, et al.     )      Examiner: Unassigned  
                                  )  
                                  )  
Application No.: Unassigned )      Art Unit: Unassigned  
                                  )  
                                  )  
Filed: Herewith             )  
                                  )  
For:   METHOD AND APPARATUS )  
      TO DETECT SUSPICIOUS )  
      TRANSACTION WITHIN A )  
      NETWORK-BASED AUCTION )  
      FACILITY                )

---

Assistant Commissioner for Patents  
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on July 12, 2001

(Date of Deposit)

Carla Zavaleta

(Name of person mailing correspondence)

7-12-01  
(Signature of person mailing correspondence)

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

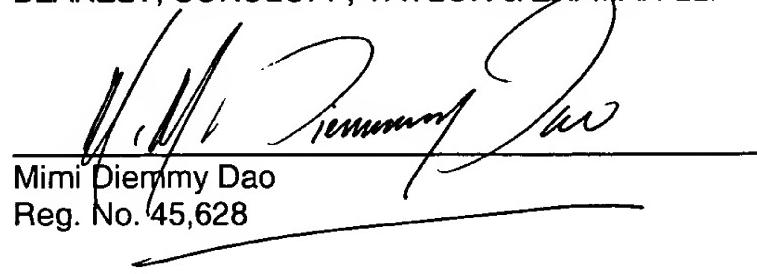
- X      37 C.F.R. §1.97(b).
- 37 C.F.R. §1.97(c). If so, then enclosed with this Information Disclosure Statement is one of the following:
- A statement pursuant to 37 C.F.R. §1.97(e) or
- A check for \$180.00 for the fee under 37 C.F.R. § 1.17(p).
- 37 C.F.R. §1.97(d). If so, then enclosed with this Information Disclosure Statement are the following:
- (1)      A statement pursuant to 37 C.F.R. §1.97(e); and
- (2)      A check for \$180.00 for the fee under 37 C.F.R. §1.17(p) for submission of the Information Disclosure Statement.

If there are any additional charges, please charge Deposit Account No.  
02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 7-12, 20\_01

  
\_\_\_\_\_  
Mimi Diemmy Dao  
Reg. No. 45,628

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  
(Modified by BSTZ 6/30/99)

Complete if Known

Application Number	Unassigned
Filing Date	Herewith
First Named Inventor	Christine Cheng, et al.
Group Art Unit	Unassigned
Examiner Name	Unassigned

Sheet 1 of 7 Attorney Docket Number 3801.P042

#2  
JC41445046  
09/95046  
10/09/95  
11/10/95  
12/11/95  
1/12/96  
2/13/96  
3/14/96  
4/15/96  
5/16/96  
6/17/96  
7/18/96  
8/19/96  
9/20/96  
10/21/96  
11/22/96  
12/23/96  
1/24/97  
2/25/97  
3/26/97  
4/27/97  
5/28/97  
6/29/97  
7/30/97  
8/31/97  
9/30/97  
10/31/97  
11/30/97  
12/31/97  
1/31/98  
2/28/98  
3/31/98  
4/30/98  
5/31/98  
6/30/98  
7/31/98  
8/31/98  
9/30/98  
10/31/98  
11/30/98  
12/31/98  
1/31/99  
2/28/99  
3/31/99  
4/30/99  
5/31/99  
6/30/99  
7/31/99  
8/31/99  
9/30/99  
10/31/99  
11/30/99  
12/31/99  
1/31/00  
2/28/00  
3/31/00  
4/30/00  
5/31/00  
6/30/00  
7/31/00  
8/31/00  
9/30/00  
10/31/00  
11/30/00  
12/31/00  
1/31/01  
2/28/01  
3/31/01  
4/30/01  
5/31/01  
6/30/01  
7/31/01  
8/31/01  
9/30/01  
10/31/01  
11/30/01  
12/31/01  
1/31/02  
2/28/02  
3/31/02  
4/30/02  
5/31/02  
6/30/02  
7/31/02  
8/31/02  
9/30/02  
10/31/02  
11/30/02  
12/31/02  
1/31/03  
2/28/03  
3/31/03  
4/30/03  
5/31/03  
6/30/03  
7/31/03  
8/31/03  
9/30/03  
10/31/03  
11/30/03  
12/31/03  
1/31/04  
2/28/04  
3/31/04  
4/30/04  
5/31/04  
6/30/04  
7/31/04  
8/31/04  
9/30/04  
10/31/04  
11/30/04  
12/31/04  
1/31/05  
2/28/05  
3/31/05  
4/30/05  
5/31/05  
6/30/05  
7/31/05  
8/31/05  
9/30/05  
10/31/05  
11/30/05  
12/31/05  
1/31/06  
2/28/06  
3/31/06  
4/30/06  
5/31/06  
6/30/06  
7/31/06  
8/31/06  
9/30/06  
10/31/06  
11/30/06  
12/31/06  
1/31/07  
2/28/07  
3/31/07  
4/30/07  
5/31/07  
6/30/07  
7/31/07  
8/31/07  
9/30/07  
10/31/07  
11/30/07  
12/31/07  
1/31/08  
2/28/08  
3/31/08  
4/30/08  
5/31/08  
6/30/08  
7/31/08  
8/31/08  
9/30/08  
10/31/08  
11/30/08  
12/31/08  
1/31/09  
2/28/09  
3/31/09  
4/30/09  
5/31/09  
6/30/09  
7/31/09  
8/31/09  
9/30/09  
10/31/09  
11/30/09  
12/31/09  
1/31/10  
2/28/10  
3/31/10  
4/30/10  
5/31/10  
6/30/10  
7/31/10  
8/31/10  
9/30/10  
10/31/10  
11/30/10  
12/31/10  
1/31/11  
2/28/11  
3/31/11  
4/30/11  
5/31/11  
6/30/11  
7/31/11  
8/31/11  
9/30/11  
10/31/11  
11/30/11  
12/31/11  
1/31/12  
2/28/12  
3/31/12  
4/30/12  
5/31/12  
6/30/12  
7/31/12  
8/31/12  
9/30/12  
10/31/12  
11/30/12  
12/31/12  
1/31/13  
2/28/13  
3/31/13  
4/30/13  
5/31/13  
6/30/13  
7/31/13  
8/31/13  
9/30/13  
10/31/13  
11/30/13  
12/31/13  
1/31/14  
2/28/14  
3/31/14  
4/30/14  
5/31/14  
6/30/14  
7/31/14  
8/31/14  
9/30/14  
10/31/14  
11/30/14  
12/31/14  
1/31/15  
2/28/15  
3/31/15  
4/30/15  
5/31/15  
6/30/15  
7/31/15  
8/31/15  
9/30/15  
10/31/15  
11/30/15  
12/31/15  
1/31/16  
2/28/16  
3/31/16  
4/30/16  
5/31/16  
6/30/16  
7/31/16  
8/31/16  
9/30/16  
10/31/16  
11/30/16  
12/31/16  
1/31/17  
2/28/17  
3/31/17  
4/30/17  
5/31/17  
6/30/17  
7/31/17  
8/31/17  
9/30/17  
10/31/17  
11/30/17  
12/31/17  
1/31/18  
2/28/18  
3/31/18  
4/30/18  
5/31/18  
6/30/18  
7/31/18  
8/31/18  
9/30/18  
10/31/18  
11/30/18  
12/31/18  
1/31/19  
2/28/19  
3/31/19  
4/30/19  
5/31/19  
6/30/19  
7/31/19  
8/31/19  
9/30/19  
10/31/19  
11/30/19  
12/31/19  
1/31/20  
2/28/20  
3/31/20  
4/30/20  
5/31/20  
6/30/20  
7/31/20  
8/31/20  
9/30/20  
10/31/20  
11/30/20  
12/31/20  
1/31/21  
2/28/21  
3/31/21  
4/30/21  
5/31/21  
6/30/21  
7/31/21  
8/31/21  
9/30/21  
10/31/21  
11/30/21  
12/31/21  
1/31/22  
2/28/22  
3/31/22  
4/30/22  
5/31/22  
6/30/22  
7/31/22  
8/31/22  
9/30/22  
10/31/22  
11/30/22  
12/31/22  
1/31/23  
2/28/23  
3/31/23  
4/30/23  
5/31/23  
6/30/23  
7/31/23  
8/31/23  
9/30/23  
10/31/23  
11/30/23  
12/31/23  
1/31/24  
2/28/24  
3/31/24  
4/30/24  
5/31/24  
6/30/24  
7/31/24  
8/31/24  
9/30/24  
10/31/24  
11/30/24  
12/31/24  
1/31/25  
2/28/25  
3/31/25  
4/30/25  
5/31/25  
6/30/25  
7/31/25  
8/31/25  
9/30/25  
10/31/25  
11/30/25  
12/31/25  
1/31/26  
2/28/26  
3/31/26  
4/30/26  
5/31/26  
6/30/26  
7/31/26  
8/31/26  
9/30/26  
10/31/26  
11/30/26  
12/31/26  
1/31/27  
2/28/27  
3/31/27  
4/30/27  
5/31/27  
6/30/27  
7/31/27  
8/31/27  
9/30/27  
10/31/27  
11/30/27  
12/31/27  
1/31/28  
2/28/28  
3/31/28  
4/30/28  
5/31/28  
6/30/28  
7/31/28  
8/31/28  
9/30/28  
10/31/28  
11/30/28  
12/31/28  
1/31/29  
2/28/29  
3/31/29  
4/30/29  
5/31/29  
6/30/29  
7/31/29  
8/31/29  
9/30/29  
10/31/29  
11/30/29  
12/31/29  
1/31/30  
2/28/30  
3/31/30  
4/30/30  
5/31/30  
6/30/30  
7/31/30  
8/31/30  
9/30/30  
10/31/30  
11/30/30  
12/31/30  
1/31/31  
2/28/31  
3/31/31  
4/30/31  
5/31/31  
6/30/31  
7/31/31  
8/31/31  
9/30/31  
10/31/31  
11/30/31  
12/31/31  
1/31/32  
2/28/32  
3/31/32  
4/30/32  
5/31/32  
6/30/32  
7/31/32  
8/31/32  
9/30/32  
10/31/32  
11/30/32  
12/31/32  
1/31/33  
2/28/33  
3/31/33  
4/30/33  
5/31/33  
6/30/33  
7/31/33  
8/31/33  
9/30/33  
10/31/33  
11/30/33  
12/31/33  
1/31/34  
2/28/34  
3/31/34  
4/30/34  
5/31/34  
6/30/34  
7/31/34  
8/31/34  
9/30/34  
10/31/34  
11/30/34  
12/31/34  
1/31/35  
2/28/35  
3/31/35  
4/30/35  
5/31/35  
6/30/35  
7/31/35  
8/31/35  
9/30/35  
10/31/35  
11/30/35  
12/31/35  
1/31/36  
2/28/36  
3/31/36  
4/30/36  
5/31/36  
6/30/36  
7/31/36  
8/31/36  
9/30/36  
10/31/36  
11/30/36  
12/31/36  
1/31/37  
2/28/37  
3/31/37  
4/30/37  
5/31/37  
6/30/37  
7/31/37  
8/31/37  
9/30/37  
10/31/37  
11/30/37  
12/31/37  
1/31/38  
2/28/38  
3/31/38  
4/30/38  
5/31/38  
6/30/38  
7/31/38  
8/31/38  
9/30/38  
10/31/38  
11/30/38  
12/31/38  
1/31/39  
2/28/39  
3/31/39  
4/30/39  
5/31/39  
6/30/39  
7/31/39  
8/31/39  
9/30/39  
10/31/39  
11/30/39  
12/31/39  
1/31/40  
2/28/40  
3/31/40  
4/30/40  
5/31/40  
6/30/40  
7/31/40  
8/31/40  
9/30/40  
10/31/40  
11/30/40  
12/31/40  
1/31/41  
2/28/41  
3/31/41  
4/30/41  
5/31/41  
6/30/41  
7/31/41  
8/31/41  
9/30/41  
10/31/41  
11/30/41  
12/31/41  
1/31/42  
2/28/42  
3/31/42  
4/30/42  
5/31/42  
6/30/42  
7/31/42  
8/31/42  
9/30/42  
10/31/42  
11/30/42  
12/31/42  
1/31/43  
2/28/43  
3/31/43  
4/30/43  
5/31/43  
6/30/43  
7/31/43  
8/31/43  
9/30/43  
10/31/43  
11/30/43  
12/31/43  
1/31/44  
2/28/44  
3/31/44  
4/30/44  
5/31/44  
6/30/44  
7/31/44  
8/31/44  
9/30/44  
10/31/44  
11/30/44  
12/31/44  
1/31/45  
2/28/45  
3/31/45  
4/30/45  
5/31/45  
6/30/45  
7/31/45  
8/31/45  
9/30/45  
10/31/45  
11/30/45  
12/31/45  
1/31/46  
2/28/46  
3/31/46  
4/30/46  
5/31/46  
6/30/46  
7/31/46  
8/31/46  
9/30/46  
10/31/46  
11/30/46  
12/31/46  
1/31/47  
2/28/47  
3/31/47  
4/30/47  
5/31/47  
6/30/47  
7/31/47  
8/31/47  
9/30/47  
10/31/47  
11/30/47  
12/31/47  
1/31/48  
2/28/48  
3/31/48  
4/30/48  
5/31/48  
6/30/48  
7/31/48  
8/31/48  
9/30/48  
10/31/48  
11/30/48  
12/31/48  
1/31/49  
2/28/49  
3/31/49  
4/30/49  
5/31/49  
6/30/49  
7/31/49  
8/31/49  
9/30/49  
10/31/49  
11/30/49  
12/31/49  
1/31/50  
2/28/50  
3/31/50  
4/30/50  
5/31/50  
6/30/50  
7/31/50  
8/31/50  
9/30/50  
10/31/50  
11/30/50  
12/31/50  
1/31/51  
2/28/51  
3/31/51  
4/30/51  
5/31/51  
6/30/51  
7/31/51  
8/31/51  
9/30/51  
10/31/51  
11/30/51  
12/31/51  
1/31/52  
2/28/52  
3/31/52  
4/30/52  
5/31/52  
6/30/52  
7/31/52  
8/31/52  
9/30/52  
10/31/52  
11/30/52  
12/31/52  
1/31/53  
2/28/53  
3/31/53  
4/30/53  
5/31/53  
6/30/53  
7/31/53  
8/31/53  
9/30/53  
10/31/53  
11/30/53  
12/31/53  
1/31/54  
2/28/54  
3/31/54  
4/30/54  
5/31/54  
6/30/54  
7/31/54  
8/31/54  
9/30/54  
10/31/54  
11/30/54  
12/31/54  
1/31/55  
2/28/55  
3/31/55  
4/30/55  
5/31/55  
6/30/55  
7/31/55  
8/31/55  
9/30/55  
10/31/55  
11/30/55  
12/31/55  
1/31/56  
2/28/56  
3/31/56  
4/30/56  
5/31/56  
6/30/56  
7/31/56  
8/31/56  
9/30/56  
10/31/56  
11/30/56  
12/31/56  
1/31/57  
2/28/57  
3/31/57  
4/30/57  
5/31/57  
6/30/57  
7/31/57  
8/31/57  
9/30/57  
10/31/57  
11/30/57  
12/31/57  
1/31/58  
2/28/58  
3/31/58  
4/30/58  
5/31/58  
6/30/58  
7/31/58  
8/31/58  
9/30/58  
10/31/58  
11/30/58  
12/31/58  
1/31/59  
2/28/59  
3/31/59  
4/30/59  
5/31/59  
6/30/59  
7/31/59  
8/31/59  
9/30/59  
10/31/59  
11/30/59  
12/31/59  
1/31/60  
2/28/60  
3/31/60  
4/30/60  
5/31/60  
6/30/60  
7/31/60  
8/31/60  
9/30/60  
10/31/60  
11/30/60  
12/31/60  
1/31/61  
2/28/61  
3/31/61  
4/30/61  
5/31/61  
6/30/61  
7/31/61  
8/31/61  
9/30/61  
10/31/61  
11/30/61  
12/31/61  
1/31/62  
2/28/62  
3/31/62  
4/30/62  
5/31/62  
6/30/62  
7/31/62  
8/31/62  
9/30/62  
10/31/62  
11/30/62  
12/31/62  
1/31/63  
2/28/63  
3/31/63  
4/30/63  
5/31/63  
6/30/63  
7/31/63  
8/31/63  
9/30/63  
10/31/63  
11/30/63  
12/31/63  
1/31/64  
2/28/64  
3/31/64  
4/30/64  
5/31/64  
6/30/64  
7/31/64  
8/31/64  
9/30/64  
10/31/64  
11/30/64  
12/31/64  
1/31/65  
2/28/65  
3/31/65  
4/30/65  
5/31/65  
6/30/65  
7/31/65  
8/31/65  
9/30/65  
10/31/65  
11/30/65  
12/31/65  
1/31/66  
2/28/66  
3/31/66  
4/30/66  
5/31/66  
6/30/66  
7/31/66  
8/31/66  
9/30/66  
10/31/66  
11/30/66  
12/31/66  
1/31/67  
2/28/67  
3/31/67  
4/30/67  
5/31/67  
6/30/67  
7/31/67  
8/31/67  
9/30/67  
10/31/67  
11/30/67  
12/31/67  
1/31/68  
2/28/68  
3/31/68  
4/30/68  
5/31/68  
6/30/68  
7/31/68  
8/31/68  
9/30/68  
10/31/68  
11/30/68  
12/31/68  
1/31/69  
2/28/69  
3/31/69  
4/30/69  
5/31/69  
6/30/69  
7/31/69  
8/31/69  
9/30/69  
10/31/69  
11/30/69  
12/31/69  
1/31/70  
2/28/70  
3/31/70  
4/30/70  
5/31/70  
6/30/70  
7/31/70  
8/31/70  
9/30/70  
10/31/70  
11/30/70  
12/31/70  
1/31/71  
2/28/71  
3/31/71  
4/30/71  
5/31/71  
6/30/71  
7/31/71  
8/31/71  
9/30/71  
10/31/71  
11/30/71  
12/31/71  
1/31/72  
2/28/72  
3/31/72  
4/30/72  
5/31/72  
6/30/72  
7/31/72  
8/31/72  
9/30/72  
10/31/72  
11/30/72  
12/31/72  
1/31/73  
2/28/73  
3/31/73  
4/30/73  
5/31/73  
6/30/73  
7/31/73  
8/31/73  
9/30/73  
10/31/73  
11/30/73  
12/31/73  
1/31/74  
2/28/74  
3/31/74  
4/30/74  
5/31/74  
6/30/74  
7/31/74  
8/31/74  
9/30/74  
10/31/74  
11/30/74  
12/31/74  
1/31/75  
2/28/75  
3/31/75  
4/30/75  
5/31/75  
6/30/75  
7/31/75  
8/31/75  
9/30/75  
10/31/75  
11/30/75  
12/31/75  
1/31/76  
2/28/76  
3/31/76  
4/30/76  
5/31/76  
6/30/76  
7/31/76  
8/31/76  
9/30/76  
10/31/76  
11/30/76  
12/31/76  
1/31/77  
2/28/77  
3/31/77  
4/30/77  
5/31/77  
6/30/77  
7/31/77  
8/31/77  
9/30/77  
10/31/77  
11/30/77  
12/31/77  
1/31/78  
2/28/78  
3/31/78  
4/30/78  
5/31/78  
6/30/78  
7/31/78  
8/31/78  
9/30/78  
10/31/78  
11/30/78  
12/31/78  
1/31/79  
2/28/79  
3/31/79  
4/30/79  
5/31/79  
6/30/79  
7/31/79  
8/31/79  
9/30/79  
10/31/79  
11/30/79  
12/31/79  
1/31/80  
2/28/80  
3/31/80  
4/30/80  
5/31/80  
6/30/80  
7/31/80  
8/31/80  
9/30/80  
10/31/80  
11/30/80  
12/31/80  
1/31/81  
2/28/81  
3/31/81  
4/30/81  
5/31/81  
6/30/81  
7/31/81  
8/31/81  
9/30/81  
10/31/81  
11/30/81  
12/31/81  
1/31/82  
2/28/82  
3/31/82  
4/30/82  
5/31/82  
6/30/82  
7/31/82  
8/31/82  
9/30/82  
10/31/82  
11/30/82  
12/31/82  
1/31/83  
2/28/83  
3/31/83  
4/30/83  
5/31/83  
6/30/83  
7/31/83  
8/31/83  
9/30/83  
10/31/83  
11/30/83  
12/31/83  
1/31/84  
2/28/84  
3/31/84  
4/30/84  
5/31/84  
6/30/84  
7/31/84  
8/31/84  
9/30/84  
10/31/84  
11/30/84  
12/31/84  
1/31/85  
2/28/85  
3/31/85  
4/30/85  
5/31/85  
6/30/85  
7/31/85  
8/31/85  
9/30/85  
10/31/85  
11/30/85  
12/31/85  
1/31/86  
2/28/86  
3/31/86  
4/30/86  
5/31/86  
6/30/86  
7/31/86  
8/31/86  
9/30/86  
10/31/86  
11/30/86  
12/31/86  
1/31/87  
2/28/87  
3/31/87  
4/30/87  
5/31/87  
6/30/87  
7/31/87  
8/31/87  
9/30/87  
10/31/87  
11/30/87  
12/31/87  
1/31/88  
2/28/88  
3/31/88  
4/30/88  
5/31/88  
6/30/88  
7/31/88  
8/31/88  
9/30/88  
10/31/88  
11/30/88  
12/31/88  
1/31/89  
2/28/89  
3/31/89  
4/30/89  
5/31/89  
6/30/89  
7/31/89  
8/31/89  
9/30/89  
10/31/89  
11/30/89  
12/31/89  
1/31/90  
2/28/90  
3/31/90  
4/30/90  
5/31/90  
6/30/90  
7/31/90  
8/31/90  
9/30/90  
10/31/90  
11/30/90  
12/31/90  
1/31/91  
2/28/91  
3/31/91  
4/30/91  
5/31/91  
6/30/91  
7/31/91  
8/31/91  
9/30/91  
10/31/91  
11/30/91  
12/31/91  
1/31/92  
2

Please type a plus sign (+) inside this box

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO  
(Modified by BSTZ 6/30/99)

Complete if Known

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(use as many sheets as necessary)

Application Number

Unassigned

Filing Date

Herewith

First Named Inventor

Christine Cheng, et al.

Group Art Unit

Unassigned

Examiner Name

Unassigned

Sheet 2 of 7 Attorney Docket Number 3801.P042

## U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Filing Date if Appropriate
	Number				
	5,136,501 ✓		Silverman, et al.	8/4/1992	5/26/1989
	5,168,446 ✓		Wiseman	12/1/1992	5/23/1989
	5,243,515 ✓		Lee	9/7/1993	10/30/1990
	5,258,908 ✓		Hartheimer, et al.	11/2/1993	11/2/1990
	5,280,422 ✓		Moe, et al.	1/18/1994	11/5/1990
	5,297,031 ✓		Guterman, et al.	3/22/1994	3/6/1990
	5,297,032 ✓		Trojan, et al.	3/22/1994	2/1/1991
	5,305,200 ✓		Hartheimer, et al.	4/19/1994	11/2/1990
	5,325,297 ✓		Bird, et al.	6/28/1994	6/25/1992
	5,329,589 ✓		Fraser, et al.	7/12/1994	6/3/1993
	5,375,055 ✓		Togher, et al.	12/20/1994	2/3/1992
	5,394,324 ✓		Clearwater	2/28/1995	12/8/1993

## FOREIGN PATENT DOCUMENTS

Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			
	Netherland	9300266 ✓	2.10.1993			
	France	2658635-A1 ✓	2.6.1991			
	Canada	2,253,543 ✓	3/19/1997			Yes

## OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
	✓ ROCKOFF, TE; GROVES, M., "Design of an Internet-based system for remote Dutch auctions", <u>Internet Research - Electronic Networking Applications and Policy</u> , January 1, 1995, Vol 5, No. 4, Pages 10-16	
	✓ MASSIMB, MN; PHELPS, BD, "Electronic Trading, Market Structure and Liquidity", <u>Financial Analysts Journal</u> , January - February 1994, Vol 50, No. 1, Pages 39-50, Charlottesville, Virginia	
	✓ POST, DL; COPPINGER, SS, et al., "Application of Auctions as a Pricing Mechanism for the Interchange of Electric Power", <u>IEEE Transactions on Power Systems</u> , August 1995, Pages 7, Vol. 10,	
	✓ HESS, CM; KEMERER, CF., "Computerized Loan Organization System: An Industry Case Study of the Electronic Markets Hypothesis", <u>MIS Quarterly</u> , September 1994, Vol. 18, No 3, Pages 251-274	

Examiner Signature

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<p>Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<i>Complete if Known</i>	
				Application Number	Unassigned
				Filing Date	Herewith
				First Named Inventor	Christine Cheng, et al.
				Group Art Unit	Unassigned
Examiner Name	Unassigned				
Sheet	3	of	7	Attorney Docket Number	3801.P042

#### U.S. PATENT DOCUMENTS

Examiner Initials *	U.S. Patent Document Number	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Filing Date if Appropriate
			MM-DD-YYYY	
	5,426,281 -	Abecassis	6/20/1995	10/29/1993
	5,553,145 -	Micali	9/3/1996	8/4/1995
	5,640,569 -	Miller, et al.	6/17/1997	4/28/1995
	5,664,115 -	Fraser	9/2/1997	6/7/1995
	5,689,652 -	Lupien, et al.	11/18/1997	4/27/1995
	5,710,889 -	Clark, et al.	1/20/1998	6/7/1995
	5,715,402 -	Popolo	2/3/1998	11/9/1995
	5,717,989 -	Tozzoli, et al.	2/10/1998	10/13/1994
	5,727,165 -	Ordish, et al.	3/10/1998	12/27/1994
	5,794,219 -	Brown	8/11/1998	2/20/1996
	5,818,914 -	Fujisaki	10/6/1998	3/27/1995
	5,826,244 -	Huberman	10/20/1998	8/23/1995

#### FOREIGN PATENT DOCUMENTS

Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Translation? Yes/No
	Office or Country	Number	Date			

#### OTHER DOCUMENTS

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
/	RECK, Martin, "Formally Specifying and Automated Trade Execution System", <u>Journal of Systems and Software</u> , 1993, Pages 245-252, Vol. 21, Elsevier Science Publishing Co., Inc. New York, Ny.	
/	"Anonymous Delivery of Goods in Electronic Commerce", <u>IBM Technical Disclosure Bulletin</u> , March 1996, Pages 363-366, Vol. 39, No. 3	
/	LEE, G., "Electronic Brokerage and Electronic Auction: The Impact of IT on Market Structures", <u>Proceedings of the 29th HICSS</u> , 1996, Pages 397-406, Vol. IV	
/	CLEMINS, E; WEBER, B., "Evaluating the Prospects for Alternative Electronic Securities Markets", <u>International Conference on Information Systems</u> , 1991, Pages 53-61, Vol 12	

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<p>Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<i>Complete if Known</i>	
				<b>Application Number</b>	Unassigned
				<b>Filing Date</b>	Herewith
				<b>First Named Inventor</b>	Christine Cheng et al.
				<b>Group Art Unit</b>	Unassigned
				<b>Examiner Name</b>	Unassigned
Sheet	4	of	7	Attorney Docket Number	3801.P042

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			

## **OTHER DOCUMENTS**

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
	MARDESICH, J., "Onsale Takes Auction gavel electronic", <u>Computer Reseller News</u> , July 8, 1996, Page 2 continued on Page 32, Mountain View, California.	
✓	"Onsale joins fray as online shopping picks up speed: Internet Booms", <u>Computer Reseller News</u> , June 5, 1995, Page 73, CMP Publications, Inc., USA.	
✓	SIEGMANN, KEN, "Nowhere to go but up", <u>PC Week</u> , October 23, 1995, Page 1-3, Vol. 12, No. 42, Ziff-Davis Publishing Company	
✓	'Onsale: Onsale Brings Thrill of Auctions and Bargain Hunting Online; Unique Internet retail service debuts with week-long charity auction for the Computer Museum in Boston", May 24, 1995, Pages 3	

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box.



PTO/SB/08A (10-96)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<p>Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<i>Complete if Known</i>	
				<b>Application Number</b>	Unassigned
				<b>Filing Date</b>	Herewith
				<b>First Named Inventor</b>	Christine Cheng, et al.
				<b>Group Art Unit</b>	Unassigned
				<b>Examiner Name</b>	Unassigned
Sheet	5	of	7	Attorney Docket Number	3801.P042

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

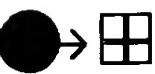
## **OTHER DOCUMENTS**

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
	SCHMID, BF., "The Development of Electronic Commerce", <u>Newsletter of the Competence Centre Electronic Markets</u> , October 1993, No. 9/10	
	TJOSTHEIM, I; EIDE, J., "A case study of an on-line auction for the World Wide Web", <u>Norwegian Computing Center</u> , No Date Given, Pages 1-10	
	GRAHAM, I., "The Emergence of Linked Fish Markets in Europe", <u>Focus Theme</u> , No Date Given, Pages 1-4	
	RECK, Martin., "Trading-Process Characteristics of Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-7	

<b>Examiner Signature</b>		<b>Date Considered</b>	
-------------------------------	--	----------------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box



PTO/SB/08A (10-96)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<p><b>Substitute for form 1449A/PTO</b>  <b>(Modified by BSTZ 6/30/99)</b></p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>		<i>Complete if Known</i>	
		<b>Application Number</b>	Unassigned
		<b>Filing Date</b>	Herewith
		<b>First Named Inventor</b>	Christine Cheng, et al.
		<b>Group Art Unit</b>	Unassigned
		<b>Examiner Name</b>	Unassigned
Sheet	6	of	7
		Attorney Docket Number	
		3801.P042	

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials *		Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
		Office or Country	Number	Date			

## **OTHER DOCUMENTS**

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).			Translation?	Yes/No
<input checked="" type="checkbox"/>	VAN HECK, E; RIBBERS, PM., "Experiences with Electronic Auctions in the Dutch Flower Industry", <u>Focus Theme</u> , No Date Given, Pages 1-6				
<input checked="" type="checkbox"/>	LEE, HG., "Aucnet: Electronic Intermediary for Used-Car Transactions", <u>Focus Theme</u> , No Date Given, Pages 1-5				
<input checked="" type="checkbox"/>	KLEIN, S., "Introduction to Electronic Auctions", <u>Focus Theme</u> , No Date Given, Pages 1-4				
<input checked="" type="checkbox"/>	TURBAN, E., "Auctions and Bidding on the Internet an Assessment", <u>Focus Theme</u> , No Date Given, Pages 1-5				

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box



PTO/SB/08A (10-96)

Approved for use through 10/31/99. OMB 0651-0031

**Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE**

**Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.**

<p>Substitute for form 1449A/PTO (Modified by BSTZ 6/30/99)</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(use as many sheets as necessary)</i></p>				<i>Complete if Known</i>	
				<b>Application Number</b>	Unassigned
				<b>Filing Date</b>	Herewith
				<b>First Named Inventor</b>	Christine Cheng et al.
				<b>Group Art Unit</b>	Unassigned
				<b>Examiner Name</b>	Unassigned
Sheet	7	of	7	Attorney Docket Number	3801.P042

## **U.S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials *	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Translation? Yes/No
	Office or Country	Number	Date			

## **OTHER DOCUMENTS**

Examiner Initials *	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published (if known).	Translation? Yes/No
	/ ZWASS, VLADIMIR., "Electronic Commerce: Structures and Issues", <u>International Journal of Electronic Commerce</u> , Fall 1996, Pages 3-23, Vol, 1, No. 1	

**Examiner Signature** \_\_\_\_\_ **Date Considered** \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.